



REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)
Submit an original and a duplicate for fee processing

THIS APPLICATION: DOCKET NUMBER	FILING DATE	PRIOR APPLICATION: EXAMINER	ART UNIT	TOTAL NO. OF PAGES
P63413US2	October 2, 2003	Kuang Lin	1725	203

Address to:

Commissioner for Patents
P.O. Box 1450
Mail Stop Patent Application
Alexandria, VA 22313-1450



This is a request for filing a [] continuation or [X] divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. 09/922,862 filed on August 7, 2001, entitled PRODUCTS FOR THE MANUFACTURE OF MOLDS AND CORES USED IN METAL CASTING AND A METHOD FOR THEIR MANUFACTURE AND RECYCLE FROM CRUSHED ROCK by the following inventor(s).

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	Sparks	Robert	E.
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Kirkwood	Missouri	U.S.A.
Post Office Address	Post Office Address	City	State & Zip Code/ Country
	1318 W. Adams Avenue	Kirkwood	Missouri 63122

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	HARRIS	Kenneth	Hillel Peter
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Asker	Norway	Great Britain
Post Office Address	Post Office Address	City	State & Zip Code/ Country
	Tr Ilhaug n 24	Ask r	Norway 1384

☐ additional sheet(s) with inventors information attached

1. ☒ A Second Preliminary Amendment is enclosed.

2. ☒ Cancel claim(s) 1-14 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

CLAIMS

Basic Fee			\$385	\$770
Total Claims	6	- 20 =	x 9 = \$	x 18 = \$
Ind. Claims	2	- 3 =	x 43 = \$	x 86 = \$
<input type="checkbox"/> Multiple Dependent Claims			<u>+145 = \$</u> Total \$385	<u>+ 290 = \$</u> Total \$

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

4. ☐ This application is filed under Rule 53(f) and therefore the ☐ Filing Fee ☐ Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 37 C.F.R. 1.16 fees previously made is revoked.

5. ☒ A check in the amount of \$ 385.00 is enclosed.

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a divisional of application Serial No. 09/922,862 filed August 7, 2001.

☐ which in turn is

7. ☒ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:

a) ☒ was claimed by way of a declaration filed in prior application Serial No. 09/922,862.

b) ☐ is claimed by way of the attached declaration.

8. ☐ Priority of application Serial No. _____, filed on _____ in _____
_____ is claimed under 35 U.S.C. 119.

a) ☐ Certified copy is on file in prior application, Serial No. _____.

b) ☐ Certified copy filed herewith.

9. ☒ The prior application is assigned of record to Noram Technology, Ltd..

10. ☒ The Power of Attorney in the prior application is to at least one of the following:

John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; William E. Player, 31,409; Yoon S. Ham, 45,307; and Nathaniel A. Humphries, 22,772.

a) ☒ The power appears in the original papers of the prior application.

b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☐ Petition to extend the life of the above prior application to at least the date hereof

(One box) ☐ is being concurrently filed in
NOTE: (must be) that prior application.
(X'd) ☐ was previously filed in that application.
☒ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☒ New formal drawings are enclosed.

13. ☒ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. 09/922,862, filed August 7, 2001 which is relied on for an earlier filing date under 35 U.S.C. 120.

a) ☒ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.

14. ☒ Enclosed is a copy of the PTO 892 which issued in Serial No. 09/922,862, filed August 7, 2001 which is relied on for an earlier filing date under 35 U.S.C. 120.

a) ☐ More than one PTO 892 issued in the above Serial No. _____ and copies of each PTO 892, less the documents, are enclosed.

15. ☒ Also enclosed is:

Substitute specification on file in prior application, Serial No. 09.922,862
filed August 7, 2001.

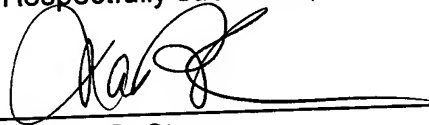
Address all future correspondence to:

Customer No. 00136
or
JACOBSON HOLMAN PLLC
400 Seventh Street, N.W.
Washington, D.C. 20004-2201
Telephone 202/638-6666

Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. 09/922,862, filed on August 7, 2001. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

By



Marvin R. Stern
Reg. No. 20,640
JACOBSON HOLMAN PLLC
400 Seventh Street, N.W.
Washington, D.C. 20004
(202) 638-6666

Signed this 2nd day of October , 2003

☒ Attorney or Agent of record

☐ Filed under 37 CFR 1.34(a)

JH Ref P63413US0

Today's Date 10/3/01

Serial/Patent No. 09/922,862

Applicant, Patentee, Assignee SPARKS et al.

Filing Date/Patent Date 8/7/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ _____ pp. Specification & _____ Claims ☐ Response to Office Action
- ☐ Combined Declaration, Power of Attorney ☐ Disclosure Statement-IDS
- ☐ Preliminary Amendment ☐ Copies of References
- ☐ Rule 53 (b) Application ☒ Request for Refund
- ☐ Rule 53 (d)/RCE Application ☒ Request for Corrected Filing Receipt
- ☐ Claim to Priority and Certified Copy ☐ Petition for XOT
- ☐ Substitute ☐ Subsequent Declaration ☐ Notice of Appeal/Brief
- ☐ Maintenance Fee ☐ Sequence Listing
- ☐ Drawings _____ Sheets _____ Formal _____ Informal ☐ Issue Fee Transmittal
- ☐ Assignment/Change of Name ☐ Other _____
- ☐ Small Entity Declaration
- ☐ Check for \$ _____

JH 5/01

DUE DATE

Person filing Chae

LAW OFFICES OF
JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004

